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PATENT

Attorney Docket No. 3294.0027

N THE STATES PATENT AND TRADEMARK OFFICE

In re Application of)

Thomas APPLE et al.)

Serial No. 08/736,143) Group Art Unit: Unknown

) Filed: October 28, 1996) Examiner: Unknown

)

For: MEDIA WALL FOR DISPLAYING) ATTN: APPLICATION BRANCH FINANCIAL INFORMATION)

stant Commissionar for Datanta

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

DECLARATION IN SUPPORT OF THE PETITION FILED PURSUANT TO 37 C.F.R. §1.47(a)

The above-identified application was filed under the provisions of 37 C.F.R. §1.53(d). Subsequently a "Notice to File Missing Parts of Application - Filing Date Granted" was issued by the U.S. Patent and Trademark Office on January 14, 1997.

A copy of the declaration was sent to John Footen on July 1, 1997, as shown in Exhibit A, which includes a copy of the cover letter sent to Mr. Footen with the declaration, the Federal Express mailing instructions and the Federal Express Tracking Record that indicates that delivery of the letter was attempted by Federal Express on July 2, 1997. The package with the declaration was not signed for by John Footen and therefore was not returned signed by John Footen.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

Assignee NASDAQ, through contractor Imtech Corporation, attempted to obtain John Footen's signature on the declaration before or soon after the filing of this application. However, Imtech Corporation is unable to locate copies of these letters at this time. Accordingly, the undersigned, along with Robert Yoches of the law firm of Finnegan, Henderson et al., attempted again to obtain Mr. Footen's signature at his last known address. As the evidence shows, this attempt was not successful.

Because John Footen has failed to join in the application, a declaration was executed by the remaining inventors. (See Exhibit B).

The last known address of John Footen is 117 Schmitz Terrace, Mt. Arlington, New Jersey 07856.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Rv.

Jennifer M. Colaianni Reg. No. 40,112

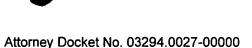
Dated: July 11, 1997

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P. 1300 I STREET, N. W. WASHINGTON, DC 20005

202-408-4000

LAW OFFICES





AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: MEDIA WALL FOR DISPLAYING FINANCIAL INFORMATION, the specification of which is attached.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Country (if PCT indicate PCT)	Application Number	Date of Filing (day, month, year)	Priority Claimed Under 35 USC 119
		•	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty-to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Patented	Pending	T Abandanad
	1 chaing	Abandoned
	x	
		x

PCT Application No.	PCT Filing Date	U.S. Serial Number Assigned (if any)		

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Reg. No. 22,540, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin 1. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No. 28,992; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824. Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., 1300 | Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Inventor	Inventor's Signature	Date Olor
Thomas Apple	Some Apple	1/2/1/96
Residence 4207 Aut 4297 31st Street, North, Arlington,	VA 22207-4113 (5TA	Country of Citizenship USA
Post Office Address 4207-1LA 4297 31st Street, North, Arlington,		

EXHIBIT A

Letter to Inventor Forwarding Declaration Federal Express Mailing Instructions Federal Express Tracking Record

LAW OFFICES

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P. 1300 I STREET, N. W. WASHINGTON, DC 20005 202-408-4000

	Full Name of Inventor	Inventor's Signature	Date 9/26/81
20	Paul Noble	-tunnin	11 16
)	Residence 45 Minnisink	Rd Short Hills Worms	Country of Citizenship
	Post Office Address 45 MINNISINK	Rd Short Hills NJ	07078.
1	<u> </u>		
	Full Name of Inventor	Inventor's Signature	Date
300	John Footen		
	Residence		Country of Citizenship
	117 Schmitz Terrace, Mt. Arlingtor	1, NJ 07856 NJ	USA
]]	Post Office Address		
i i	117 Schmitz Terrace, Mt. Arlingtor	ı, NJ 07856	
		Investoria gianglina	Data / /
	Full Name of Inventor	Inventor's Signature	Date
	Andrew Klein	/ fefty	10/8/16
	Residence		Country of Citizenship
1 1	46 Naples Road, #3, Brookline, M/	A 02143 MA	USA
	Post Office Address		

46 Naples Road, #3, Brookline, MA 02143

Full Name of Inventor Paul Noble	Inventor's Signature	Date 9/26/96
Residence 45 Minnisink	Rd Short Hills Wome	Country of Citizenship
Post Office Address 45 MINNISINK	Rd Short Hills NJ	07078.

Full Name of Inventor John Footen	Inventor's Signature	Date		
Residence		Country of Citizenship		
117 Schmitz Terrace, Mt. Arlingto	n, NJ 07856	USA		
Post Office Address				
117 Schmitz Terrace, Mt. Arlington, NJ 07856				

Full Name of Inventor Andrew Klein	Inventor's Signature	Date /0/8/96
Residence		Country of Citizenship
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Post Office Address		
46 Naples Road, #3, Brookline,	MA 02143	



Attorney Docket No. 03294.0027-00000

OWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: MEDIA WALL FOR DISPLAYING FINANCIAL INFORMATION, the specification of which is attached.

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(if PCT indicate PCT)		(day, month, year)	35 USC 119

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U.S. Applications			Status (Check	(One)
U.S. Application Number	U.S. Filing Date	Patented	Pending	Abandoned
60/009,941	January 16, 1996		х	
PCT Appl	ications Designating the U.S.			

PCT Application No.	PCT Filing Date	U.S. Serial Number Assigned (if any)		

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Reg. No. 22,540, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No. 28,992; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824. Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., 1300 | Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

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Full Name of Inventor Thomas Apple	Inventor's Signature Apple	Date 9/27/96
Residence 4207 Aut 4297 31st Street, North, Arlington,	VA 22207-4113	Country of Citizenship USA
Post Office Address 4207 JLA 4297 31st Street, North, Arlington,	VA 22207-4113	•

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Post Office Address 45 MINNISINK	Rd Short Hills NJ	07078.

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Residence		Country of Citizenship		
117 Schmitz Terrace, Mt. Arlington, NJ 07856		USA		
Post Office Address				
117 Schmitz Terrace, Mt. Arlington, NJ 07856				

Full Name of Inventor Andrew Klein	Inventor's Signature	Date /0/8/96		
Residence		Country of Citizenship		
46 Naples Road, #3, Brookline, MA 02143		USA		
Post Office Address				
46 Naples Road, #3, Brooklir	ne, MA 02143			

EXHIBIT B

Declaration

LAW OFFICES

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P. 1300 I STREET, N. W. WASHINGTON, DC 20005 202-408-4000



Attorney Docket No. 03294.0027-00000

DECLARATION AND POWER OF ATTORNEY

JUL 1 1 1997

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Post Office Address 4207 JLA 4297 31st Street, North, Arlington, VA 22207-4113				